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PATENT

Dated: October 27, 2006 By: Robert King.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of:

Peter J. Hopper, et al.

Appln. No.: 10/728,132

Filed: December 4, 2003

For: VERTICAL MOS TRANSISTOR

Group Art Unit: 2818

Examiner: David Nhu

AMENDMENT IN RESPONSE TO OFFICE  
ACTION DATED AUGUST 18, 2006

### INTRODUCTORY COMMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed August 18, 2006, please amend the above-identified application as indicated in the following:

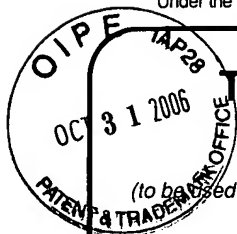
**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper; and

**Remarks** which begin on page 6 of this paper.

AMENDMENT IN RESPONSE TO OFFICE  
ACTION MAILED AUGUST 18, 2006

Atty. Docket No. 100-23700  
(P05749)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

10/728,132

Filing Date

December 4, 2003

First Named Inventor

Peter J. Hopper, et al.

Group Art Unit

2818

Examiner Name

David Nhu

Attorney Docket Number

100-23700 (P05749)

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Response

☐ After Final (Response)

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement and Form PTO-1449

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Brief

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Inquiry

☒ Other Enclosure(s) (please identify below):

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Certificate of Mailing**

Remarks

**Please charge any necessary fees or credit overpayment to Deposit Account No. 502305. A duplicate copy of this transmittal is attached for this purpose.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Mark C. Pickering, Reg. No. 36,239

Signature

*Mark C. Pickering*

Date

October 27, 2006

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Typed or printed name

Robin L. King

Signature

*Robin L. King*

Date

October 27, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.